

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT: BETTINA BAUER, ET AL. : INT'L. APPLN.: PCT/EP00/03949  
 SERIAL NO.: 09/980,372 : ART UNIT: TBA  
 FILED: November 29, 2001 : EXAMINER: TBA  
 FOR: SPLICEOSOME PROTEIN :  
 AND ITS USE

COMMISSIONER FOR PATENTS  
 BOX PCT  
 WASHINGTON, D.C. 20231

I HEREBY CERTIFY THAT THIS CORRESPONDENCE IS BEING DEPOSITED WITH THE UNITED STATES POSTAL SERVICE AS FIRST-CLASS MAIL WITH SUFFICIENT POSTAGE IN AN ENVELOPE ADDRESSED TO: COMMISSIONER FOR PATENTS, BOX PCT, WASHINGTON, D.C. 20231 ON THIS 25th DAY OF MARCH, 2002.

BY: 

SUBMISSION OF DECLARATION TO COMPLETE APPLICATION

Sir:

In response to the Notification of Missing Requirements Under 35 U.S.C. 371 in the United States Designated/Elected Office (DO/EO/US) dated January 25, 2002, applicants herewith submit the required Declaration under 37 CFR 1.497(a) and (b). Also attached is a check in the amount of \$130.00 in payment for the surcharge required by 37 CFR 1.492(e). If any additional fees are necessary, the Commissioner is authorized to debit any deficiency, or credit any overpayment, to the account of the undersigned attorneys, Deposit Account No. 03-2775.

It is believed that the above-identified application is now complete and that the Application Processing Division can proceed with the further processing of the application and the forwarding of the same to the Examining Corps for examination.

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Respectfully submitted,  
 CONNOLLY BOVE LODGE & HUTZ LLP

By: 

William E. McShane  
 Registration No. 32,707  
 Telephone: 302/658-9141

WEM/bjm

Enclosures: Check for \$130.00

Copy of Notification of Missing Requirements . . .

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## UNITED STATES PATENT AND TRADEMARK OFFICE

Commissioner for Patents, Rep. PCT  
United States Patent and Trademark Office  
Washington, D.C. 20591  
www.uspto.gov

U.S. APPLICATION NUMBER NO. 09/980,372	FIRST NAMED APPLICANT Bettina Bauer	ATTY. DOCKET NO. 199at 09 US
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INTERNATIONAL APPLICATION NO. PCT/EP00/03949	
I.A. FILING DATE 05/03/2000	PRIORITY DATE 06/04/1999

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CONFIRMATION NO. 1329

## 371 FORMALITIES LETTER



Date Mailed: 01/25/2002

### NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as an Elected Office (37 CFR 1.495):

- U.S. Basic National Fees
- Priority Document
- Biochemical Sequence Diskette
- Biochemical Sequence Listing
- Copy of IPE Report
- Copy of references cited in ISR
- Copy of the International Application
- Copy of the International Search Report
- Information Disclosure Statements
- Preliminary Amendments
- Request for Immediate Examination

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.
- \$130 Surcharge for providing the oath or declaration later than the appropriate 30 months months from the priority date (37 CFR 1.492(e)) is required.
- The nucleotide and/or amino acid sequence disclosure contained in this application does not comply with the requirements for such a disclosure as set forth in 37 CFR 1.821-1.825 for the following reason(s):
  - A copy of the "Sequence Listing" in computer readable form has been submitted. The content of the computer readable form, however, does not comply with the requirements of 37 CFR 1.822 and/or

1.832, as indicated on the attached marked-up copy of the "Raw Sequence Listing."

- The computer readable form that has been filed with this application has been found to be damaged and/or unreadable as indicated on the attached CRF Diskette Problem Report. A substitute computer readable form must be submitted as required by 37 CFR 1.825(d).
- APPLICANT MUST PROVIDE:
  - An initial or substitute computer readable form (CRF) of the "Sequence Listing."
  - An initial or substitute paper copy or compact disc of the "Sequence Listing," as well as an amendment directing its entry into the specification.
- For questions regarding compliance to 37 CFR 1.821-1.825 requirements, please contact:
  - For Rules Interpretation, call (703) 308-4216
  - To Purchase PatentIn Software, call (703) 306-2600
  - For PatentIn Software Program Help, call (703) 306-4119 or e-mail at patin21help@uspto.gov or patin3help@uspto.gov

**ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTH FROM THE DATE OF THIS NOTICE OR BY 22 or 32 MONTHS (where 37 CFR 1.495 applies) FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.**

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

#### SUMMARY OF FEES DUE:

Total additional fees required for this application is **\$130** for a Large Entity:

- **\$130** Late oath or declaration Surcharge.

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

*A copy of this notice **MUST** be returned with the response.*

VONDA M WALLACE

Telephone: (703) 305-3736

#### PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
09/980,372	PCT/EP00/03949	199at 09.US